



Coral Triangle Initiative

on Coral Reefs, Fisheries and Food Security

ANNEX – 1

PROGRESS REPORT

CTI-CFF INTERIM REGIONAL SECRETARIAT

6th CTI-CFF SENIOR OFFICIALS MEETING (SOM6)

10-12 November 2010

Manado, Indonesia

PROGRESS REPORT
Interim CTI-CFF Regional Secretariat
6th Senior Officials Meeting
Manado, 10 – 12 November 2010

1. Report from SOM

DECISION FROM SOM4, Kota Kinabalu - Malaysia, 20 – 22 October 2009	TASK	PRESENT STATUS	Follow-Up
1. Coordination Mechanism of CT Cooperation			
DECISION #1: STRUCTURE AND TOR OF THE CTI ORGANIZATION			--
Decision CM1-1: Overall Structure & Relations of the CTI Organization Agree on the Overall Structure and Relations of the CTI Organization	Developed proposal	Agreed and endorsed in MM2	
Decision CM1-2: The Terms of Reference of the CTI Summit Agree on the TOR of the CTI Summit	--	Agreed and endorsed in MM2	--
Decision CM1-3: The Terms of Reference of the Council of Ministers Agree on the TOR of the Council of Ministers	--	Agreed and endorsed in MM2	--
Decision CM1-4: The Terms of Reference of the SOM Agree on the TOR of the SOM	--	Agreed and endorsed in MM2	--
Decision CM1-5: The Terms of Reference of the Technical Working Groups Agree on the TOR of the Technical Working Groups	--	Agreed and endorsed in MM2	--
Decision CM1-6: The Terms of Reference of the Regional Secretariat Agree on the TOR of the Regional Secretariat	--	Agreed and endorsed in MM2	--
Decision CM1-7: The Terms of Reference of the CTI Partners Agree on the TOR of the CTI Partners	--	Agreed and endorsed in MM2	--
Decision CM1-8: The Terms of Reference of the NCCs Agree on the TOR of the NCCs,	--	Agreed and endorsed in MM2	--
DECISION #2: Process to select a location for the permanent Regional Secretariat			
Decision CM 2: How will the permanent (long term) location of the CTI Regional Secretariat is selected? Through mutual agreement among interested countries (Indonesia & Philippines) to be recommended to the ministers	conducted	Agreed and endorsed in MM2	Develop the office building in 2011
DECISION #3: Structure of the Regional Secretariat, Transition Process to the Permanent Secretariat and CTI Operational Procedures			
Decision CM 3: Task CMWG to work with an <i>Organizational Development Specialist</i> provided through assistance by the Government of Australia	Chair CMWG	Conducted	

DECISION #4: Future of the Coordination Mechanisms and Implementing Partners Working Group			
Decision CM 4: What are the next steps for the Coordination Mechanisms and Implementing Partners Working Group? The CMWG should continue working on finalizing detail Coordination Mechanism Term of References by November 2009, taking into account the draft prepared by countries.	Chair CMWG	Conducted and presented in SOM5	
2. Financial Resources			
Annex for Decision 2 on Financial Resources (annex 2)			
Decision #1: National financial planning processes AGREE to immediately accelerate progress on government-led, systematic, national financial planning processes in each country.	Each country	Information not available	
Decision #2: Region-wide CTI Financial Resources Strategy for Phase I AGREE by 2011, develop and formally adopt a <i>Region-wide CTI Financial Resources Strategy for Phase I of CTI Implementation</i> , covering NPoAs and the RPoA	Chair FRWG	Agreed and endorsed in MM2	will be developed in 2011
Decision #3: High-Level Roundtable on CTI Financial Resources AGREE to organize a first High-Level Roundtable on CTI Financial Resources for Phase I in late 2010	Chair FRWG	Agreed and endorsed in MM2	Plan to be conducted in 2011
AGREE by 2011, develop and formally adopt a <i>Region-wide CTI Financial Resources Strategy for Phase I of CTI Implementation</i>, covering NPoAs and the RPoA			
Operational Direction: Senior officials of the CT6 governments have considered the following directions to carry out the Strategic Decisions:			
1. Mandated the Financial Resources Working Group (FRWG) to: <ul style="list-style-type: none"> • Carry out implementation of Decision #2 • Carry out implementation of Decision #3 • Develop modalities for utilization of existing external funding, consistent with the priorities of the CTI countries (as part of Decision #2) 	Chair of FRWG	In Progress	FRWG Meetings
2. Mandated the Chairmanship of Working Group to: <ul style="list-style-type: none"> • Remains Indonesia • Nominate a chair from an Indonesian agency with financial authority 	Chair of FRWG	Done	Activate FRWG
3. Invite ASEAN experts to FRWG meeting to help with fund mobilization	Chair of FRWG	Not yet Done	Australia and ADB continue to support
4. Members of FRWG <ul style="list-style-type: none"> • CT6 countries will bring finance experts into this process • Experts from partners 	Chair of FRWG	Not yet Done	Parties & Partners nominated members
5. Two FRWG meetings <ul style="list-style-type: none"> • First meeting will be in Indonesia, second meeting will be in Malaysia 	Chair of FRWG	Not yet Done	
6. Engaging financial expertise (e.g., consultant) to support the process <ul style="list-style-type: none"> • SOM has given authority to the FRWG Chair to decide on this need • Australia has offered financial support for this if deemed necessary 	Chair of FRWG	Chair of FRWG in contact with Australia, and ADB	Continuous Support from Australia & ADB
Decision #3: High-Level Roundtable on CTI Financial Resources AGREE to organize a first High-Level Roundtable on CTI Financial Resources for Phase I in late 2010	Regional Secretariat	Rescheduled	Propose to be held in 2011

3. CTI roles in the UNFCCC		done	
4. Monitoring and Evaluation Framework			
Annex for Decision 4 on Monitoring & Evaluation Framework (annex 4)			
DECISION #1: Adopting the indicators AGREE to further review the draft indicators for adoption in 2 nd Ministerial Meeting (MM2)	Chair of Monitoring and Evaluation WG	Not yet done	
DECISION #2: Reforming the Monitoring & Evaluation Working Group (MEWG). AGREE to continue the mandate of MEWG, to develop the whole monitoring and evaluation system and present the draft to SOM5 in 2010	Chair of Monitoring and Evaluation WG	Not yet done	
DECISION #3: Setting Quantitative Targets AGREE to recommend to the National CTI Coordinating Committees (NCCC) to initiate the identification of quantitative targets by November 2009			
5. Partners Work Plan Coordination and Alignment			
Annex for Decision 5 on Partners Workplan and Coordination (annex 5)			
DECISION #1: Concept paper AGREE to require partners to submit concept paper consisting of indicative programs and financial allocation in advance, to be proposed for adoption at the SOM	Partners	Not yet done	
DECISION #2: Reporting. AGREE to require partners to submit periodic reports	Partners	Not yet done	
DECISION #3: Support and branding. AGREE to employ support mechanism for partners' program AGREE to employ branding criteria for partners' program	Regional Secretariat	Not yet done	
DECISION #4: Existing Partners Program AGREE to adopt the programs presented by partners (as attached) as a menu, whereas its implementation in each country will be subjected to approval of respective NCCCs, in accord with its national priorities and processes.	Partners	Some activity implementation	
DECISION FROM SOM5, Honiara - Solomon Islands, 18 November 2009	TASK	PRESENT STATUS	Follow-Up
1. Establishment of CTI Regional Secretariat			
ANNEX for Decision 1 on CTI Regional Secretariat (annex 1) DECISION #1: STRUCTURE AND FUNCTIONS OF THE CTI REGIONAL SECRETARIAT			
Decision 1-1: Structure of the CTI Regional Secretariat Agree on the Structure of the CTI Regional Secretariat	Chair of CMWG	Adopted by MM2	Need to be placed in the legal documents
Decision 1-2: Functions of the CTI Regional Secretariat Agree on the basic functions of the CTI Regional Secretariat, and task CMWG to further develop detailed functions and term references of the Regional Secretariat with support from Australia	Chair of CMWG	Adopted by MM2	Need to be placed in the legal documents
DECISION #2: TRANSITION PERIOD TOWARD THE CTI REGIONAL SECRETARIAT			
Decision 2-1: Mandate for the Interim CTI Regional Secretariat	Chair of CMWG	Adopted by MM2	Continue to

Recommend the Interim CTI Regional Secretariat to continue facilitating Coral Triangle countries in the transition period.			facilitate
Decision 2-2: Legal documents for the establishment of the Regional Secretariat. Agree that legal documents to establish the Regional Secretariat will be developed by the Coordination Mechanism Working Group and supported by Foreign Affairs officials from each CTI Country managed by the Interim Regional Secretariat	CMWG with support from partners and Interim Regional Secretariat develop legal documents	Documents have been developed	Will be deliberated and resolved in SOM6
Decision 2-3: Signatory of the legal documents Agree that signatory of legal documents for the establishment of CI Regional Secretariat will be made at Ministerial level	Confirmation from each country	Needs to re-confirm	Will be reconfirmed in SOM6
DECISION #3: BUDGET FOR CTI REGIONAL SECRETARIAT			
Decision 3-1: Budget Planning Agree that the budget plan developed by CMWG will be used as a reference for determining budgetary requirements of the permanent Regional Secretariat which in turn will be used as the base for developing the legal documents which covers secretariat establishment and hosting Agree that on transition period the Secretariat's daily operational cost will be provided by the Indonesian government. However, expenses for CTI conducting events will be shouldered by the event host country and the CT6 will provide their own travel expenses.	Develop operational budget plan Indonesia should allocate funds for operational activities of Regional Secretariat	Budget plan and scenarios have been developed Indonesia allocated funds for Regional Secretariat in 2010	Will be discussed and determined in SOM6 continuation
DECISION #4: LOCATION OF THE CTI REGIONAL SECRETARIAT			
Decision 4-1: Location of the CTI Regional Secretariat Agree to recommend to the Ministers to endorse Indonesia as the host for CTI Regional Secretariat		done	The Establishment of CTI Center in Manado in progress
2. Selection of Chairperson for CTI Council of Ministers		done	Continue to serve as Chairperson until 2012
3. Appointment of CTI-CFF representative to the Ocean Day event in Copenhagen		Done	-
4. CTI Implementation Roadmap 2010-2011			
ANNEX for Decision 4 on CTI Roadmap 2010 – 2011 (annex 2)			
Implementation of National Plan of Action in each CT country	Each country finalized their NPOA	National Plan has been presented in the Regional Workshop May 2010	Report on the implementation
CTI Business Summit		Conducted in Manila, Philippines on January 2010	-----
Regional Secretariat related activities supported		Support from Indonesia, Parties and Partners	Need some support in 2011 onward
Regional Planning Program Workshop	<ul style="list-style-type: none"> • Identify priorities in RPOA and develop annual work plans • Identify funding needed and 	Workshop conducted	Endorsement of the results in SOM6

	funding gaps • Secured implementation funds • Implement actions in the RPoA		
Monitoring and Evaluation Working Group Meeting	Conduct an update and planning meeting	No report	Revive and develop activity plan in SOM6
Financial Resources Working Group Meeting	Conduct a planning meeting	New chair just appointed	Revive and develop activity plan in SOM6
6th Senior Officials Meeting (SOM6)	Prepare the event	Conducted	-----
Third Ministerial Meeting	Conducted in Indonesia, back-to-back with SOM6	Cancelled due to conflicting dates with other regional/international events	Develop plan to have a Ministerial Meeting in 2011
Seventh Senior Officials Meeting (SOM7) and High Level Financial Round Table	Conducted to endorse results of the HLFRT	Cancelled due to insufficient time lead between SOM and lengthy delays in the work progress	Develop plan to have a SOM
5. Joint Ministerial Statement in MM2		Done	-----
6. CTI Joint Communiqué on Climate Change in Copenhagen		Done	-----
7. Sustainable Financial Planning		MM2 affirmed decision made in SOM4 and SOM5 on this issue	Progress report from FRWG on steps/actions agreed at SOM4 and affirmed by MM2 at SOM6
8. Agenda for the Second CTI Ministerial Meeting		had been conducted	-----
9. Logo for Coral Triangle Initiative on Coral Reefs, Fisheries and Food Security SOM reviewed the proposed CTI's logos presented by the interim Regional Secretariat and recommended for further review. Revised designs will be presented and decided in SOM6.		Under consideration in each countries	Will be presented again in SOM6
10. New member to joint CTI Recommends to the Ministers to consider new members to the CTI and tasked SOM to develop criteria and procedures.		At the CMWG meeting (May 2010), Philippines has volunteered to develop the procedures	Will be presented in SOM6

2. Report from Priority and Coordination Workshop

On May 2010, the Priority and Coordination Workshop has been conducted in Jakarta which resulted in prioritizing actions in each goal of RPOA as follows:

GOAL 1: SEASCAPES

- Adopt a general "model" for the sustainable management of seascapes (1-2-1) with 4 activities

GOAL 2: Ecosystem Approach for Fisheries Management (EAFM)

- Improve enforcement of IUU fishing through greater collaboration (2-1-2) with 6 activities
- Collaborate to develop a "common regional framework for legislation and policy" that would support EAFM; drawing on this, strengthen regional and national legislation, policies, and regulations (2-1-1) with 4 activities

GOAL 3: MPA

- Jointly establish overall goals, objectives, principles, and operational design elements for a CTMPAS centered around priority MPA networks (3-1-1) with 3 activities
- Build Capacity for effective management of the CTMPAS (3-1-1) with 1 activity

GOAL 4: CCA

- Identify the most important and immediate adaptation measures that should be taken across all Coral Triangle countries, based primarily on analyses using existing models (4-1-1) with 4 activities
- Complete and implement a Region-wide Early Action Plan for Climate Change Adaptation (4-1-3) with 1 activity
- Conduct capacity needs assessments and develop capacity programs on climate change adaptation measures (4-1-4) with 1 activity

GOAL 5: Threatened Species

- Complete and implement region-wide Sea Turtles Conservation Action Plan (5-1-3) with 4 activities
- Complete and Implementation region-wide Marine Mammals Conservation Action Plan (5-1-5) with 4 activities

3. Regional Secretariat Activities:

- **Preparation of SOM**
- **Facilitate CMWG**
- **Communication**
- **Preparation Documents**
- **etc.**

4. Other Activities

- **USAID Regional Exchanges: a) MPA-Phuket, b) LRFT-Kota Kinabalu, c) CCA-Jakarta**
- **USAID Regional Trainings on: a) EAFM, b) MPA, c) CCA**
- **ADB - Knowledge Management Project**
- **WWF: Fishers Forum – Bali**